Code	Audit Area	Year Last Audited	Days 2017/18	Days 2018/19	Days 2019/20	Days 2020/21	TOTAL
CORE FI	INANCIAL AUDITS (- Annual)						
CORE 1	Council Tax and NNDR	2016/17	20	20	20	20	80
CORE 2	Income and Cash Collection	2016/17	15	15	15	15	60
CORE 3	Main Accounting System (including Deeds Testing)	2016/17	20	20	20	20	80
CORE 4	Housing Benefits	2016/17	20	20	20	20	80
CORE 5	Creditors	2016/17	10	10	10	10	40
CORE 6	Housing Rents (including rent arrears)	2016/17	20	20	20	20	80
CORE 7	Treasury and Cashflow Management	2016/17	5	5	5	5	20
CORE 8	Payroll	2016/17	15	15	15	15	60
CORE 9	Recovery	2016/17	10	10	10	10	40
CORE 10	Car Parking Income} alternate years	2016/17		15		15	30
CORE 10	Trade Waste }	2015/16	15		15	_	30
CORE 11	ICT Core Audit TOTAL CORE FINANCIAL AUDIT	2016/17	5 155	5 155	5 155	5 155	620
	IS AUDITS (Risk Based- mainly 4-yearly)						020
HUR1	Time Recording System	2014/15		10			10
HUR2	Sickness and Other Time Off	2014/13		10		15	15
HUR3	Recruitment, Selection	2015/16		5			5
HUR4	Appraisals and Training	2015/16			10		10
HUR5	Travel and Subsistence (incl Pool cars)	2013/14	10				10
HUR6	JE	2015/16			10		10
HUR7	Corporate Health & Safety incl Homeworking/Loneworking (2yrs)	2015/16		10		10	20
HUR8	Safeguarding		10				10
	Human Resources Tot	al	20	25	20	25	90
Financial S	Services & Procurement (Vacancy)						
FIN1	VAT(2-yearly)	2017/18			10		10
FIN2	Insurance(2-yearly)	2015/16	10		10		20
FIN3	Leasing and asset management (Vehicles/Equipment/IT)	2014/15		10			10
FIN4	Procurement (2-yearly)	2015/16		20		20	40
FIN5	Contract Register & Contracts (2-yearly)	2014/15	20		20		40
	Financial Services & Procurement Tot	al	30	30	40	20	120
ICT (Liz Re							
ICT1	Telephones - Fixed and Mobile	2014/15			5		5
ICT2	Computer Inventory - hardware and software	2012/13	5				5
ICT3	Data Protection & IS (2-yearly)	2016/17		10		10	20
ICT4	Freedom of Information	2015/16		_	10		10
ICT5	Gazateer Management - Street Naming & Numbering	2014/15		5			5
			_	-		10	
	ICT Tot	al	5	15	15	10	
	Jenny Clifford)		5		15		45
PLA1	Jenny Clifford) Building Control (incl income and all other areas)	2012/13	,	15	15	10	45 20
PLA1 PLA2	Jenny Clifford) Building Control (incl income and all other areas) Development Control	2012/13 2013/14	15				45 20 15
PLA1 PLA2 PLA3	Jenny Clifford) Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas	2012/13 2013/14 2015/16	,	10	15		45 20 15 10
PLA1 PLA2 PLA3 PLA4	Jenny Clifford) Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning	2012/13 2013/14	15			10	20 15 10
PLA1 PLA2 PLA3 PLA4	Jenny Clifford) Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement	2012/13 2013/14 2015/16 2013/14	15	10	10	10	20 15 10 10
PLA1 PLA2 PLA3 PLA4 PLA5	Jenny Clifford) Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot	2012/13 2013/14 2015/16 2013/14	15	10		10	20 15 10 10
PLA1 PLA2 PLA3 PLA4 PLA5	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe)	2012/13 2013/14 2015/16 2013/14	15 10 25	10	10	10	20 15 10 10 20 75
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health	2012/13 2013/14 2015/16 2013/14 al	15	10	10	10 10 20	20 15 10 10 20 75
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health Licensing Services	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17	15 10 25	10	10	10	20 15 10 10 20 75
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17	15 10 25	10	10	10 10 20	20 15 10 20 75 15
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Emergency Planning (also Business Continuity Planning) (2yrs)	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16	15 10 25	10 10 20	10	10 10 20 10	20 15 10 20 75 15 10 20 20 20 20 20 20 20 20 20 20 20 20 20
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 PHS4 Leisure (A	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Emergency Planning (also Business Continuity Planning) (2yrs) Public Health Services Tot Indrew Pritchard) one a year	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16 al	15 10 25	10	10 10 10	10 10 20	20 15 10 20 75 15 10 20 35
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 PHS4 Leisure (A	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Emergency Planning (also Business Continuity Planning) (2yrs) Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas)	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16 al	15 10 25	10 10 20 10 10	10	10 10 20 10	20 15 10 20 75 15 10 20 35
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 PHS4 Leisure (A	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Emergency Planning (also Business Continuity Planning) (2yrs) Public Health Services Tot ndrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas)	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16 al	15 10 25 15	10 10 20	10 10 10	10 10 20 10 10 20	20 15 10 20 75 15 10 10 20 35
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 PHS4 Leisure (A	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Emergency Planning (also Business Continuity Planning) (2yrs) Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas)	2012/13 2013/14 2015/16 2013/14 2013/14 2016/17 2016/17 2015/16 al 2016/17 2015/16 2014/15	15 10 25 15 15	10 20 10 10 10	10 10 10 20	10 10 20 10 20 20	20 15 10 20 75 15 10 20 35 20 20 40
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 PHS4 Leisure (A	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Emergency Planning (also Business Continuity Planning) (2yrs) Public Health Services Tot ndrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas)	2012/13 2013/14 2015/16 2013/14 2013/14 2016/17 2016/17 2015/16 al 2016/17 2015/16 2014/15	15 10 25 15	10 10 20 10 10	10 10 10	10 10 20 10 10 20	20 18 10 10 20 78 18 10 20 38 20 20 40
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 PHS4 Leisure (A LEI1 LEI2 LEI3	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Emergency Planning (also Business Continuity Planning) (2yrs) Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas) Leisure Tot Emocratic Services (Jill May)	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16 al 2014/15 al	15 10 25 15 15	10 20 10 10 10	10 10 10 20 20	10 10 20 10 20 20 20	20 15 10 20 75 15 10 20 35 20 20 40 80
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 PHS4 Leisure (A LEI1 LEI2 LEI3 Legal & De L&D1	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Emergency Planning (also Business Continuity Planning) (2yrs) Public Health Services Tot ndrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas) Leisure Tot emocratic Services (Jill May) Members Allowances	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16 al 2016/17 2015/16 2014/15 al	15 10 25 15 15	10 20 10 10 10 20 20	10 10 10 20	10 10 20 10 20 20 20	20 15 10 20 75 15 10 10 20 20 35 20 20 40 80
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 PHS4 Leisure (A LE11 LE12 LE13 Legal & De L&D1 L&D2	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Emergency Planning (also Business Continuity Planning) (2yrs) Public Health Services Tot ndrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas) Leisure Tot emocratic Services (Jill May) Members Allowances Gifts & Hospitality/Register of Interests (2 yearly)	2012/13 2013/14 2015/16 2013/14 2013/14 2013/14 2016/17 2016/17 2015/16 2015/16 2014/15 al 2016/17 2016/17	15 10 25 15 15 20 20	10 20 10 10 10	10 10 10 20 20	10 10 20 10 20 20 20	20 15 10 20 75 15 10 10 20 20 20 40 80
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 PHS4 Leisure (AI LEI1 LEI2 LEI3 Legal & De L&D1 L&D2 L&D1 L&D2 L&D3	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot Alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Emergency Planning (also Business Continuity Planning) (2yrs) Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas) Leisure Tot Permocratic Services (Jill May) Members Allowances Gifts & Hospitality/Register of Interests (2 yearly) Electoral Registration & Elections	2012/13 2013/14 2015/16 2013/14 2013/14 2016/17 2016/17 2015/16 al 2016/17 2015/16 2014/15 al	15 10 25 15 15	10 20 10 10 10 20 20	10 10 10 20 20	10 10 20 10 20 20 20	20 15 10 10 20 75 15 10 10 20 20 20 40 80
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 PHS4 Leisure (A LEI1 LEI2 LEI3 Legal & De L&D1 L&D2	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Emergency Planning (also Business Continuity Planning) (2yrs) Public Health Services Tot ndrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas) Leisure Tot emocratic Services (Jill May) Members Allowances Gifts & Hospitality/Register of Interests (2 yearly)	2012/13 2013/14 2015/16 2013/14 2013/14 2013/14 2016/17 2016/17 2015/16 2015/16 2014/15 al 2016/17 2016/17	15 10 25 15 15 20 20	10 20 10 10 10 20 20	10 10 10 20 20	10 10 20 10 20 20 20	20 15 10 10 20 75 15 10 10 20 20 40 80

Code	Audit Area	Year Last Audited	Days 2017/18	Days 2018/19	Days 2019/20	Days 2020/21	TOTAL
Stroot Soo	ne (Stuart Noyce)						
SSS1	Refuse & Recycling (2 yearly)	2016/17		20		20	4
SSS2	Vehicles & Fuel (including inventory & maintenance)	2015/16		20	20	20	2
SSS3	District Officers	2013/10	10		20		1
SSS4	Street Cleansing & Public Cleaning	2011/12	5				<u>'</u>
SSS5	Grounds Maintenance (Parks & Open Spaces)	2013/14	10				1
0000	Street Scene Total	2013/14	25	20	20	20	7
			20	20	20	20	
	Services (Liz Reeves)						
CSE1	Customer Care/Complaints	2012/13	10				1
CSE2	Electronic payments/online forms/social media		10		10		2
	Customer Services Total		20	0	10	0	3
Housing &	Property Services (Andrew Pritchard)						
HPS1	Care Services (Alarm Income)	2010/11	5				
HPS2	Repairs and Maintenance	2014/15		20			2
HPS3	Stores	2016/17				10	1
	Health & Safety Management Arrangements incl Estate Inspections (2-						
HPS4	yearly)	2014/15	10		10		2
HPS6	Cemeteries & Bereavement Services	2016/17				10	1
HPS8	Voids Management Arrangements	2016/17				10	1
HPS9	Lettings	2016/17			10		
HPS10	Housing Options	2013/14	10				,
HPS11	Service Charges			10			,
HPS12	Standby	2016/17				5	
	Housing & Property Services Total		25	30	20	35	11
	9 Community Boundament (John Bodley Coatt)						
CDE1	& Community Development (John Bodley-Scott) Grants, subscriptions & donations	2015/16			10		
CDE1	Community Engagement & Consultation	2015/16	10		10		1 1
CDE3	, 00	2014/15	10	10			
CDE3 CDE4	Economic Regeneration Markets	2014/15 2014/15	10	10	.		1 1
CDE4		2014/15		40			
			201		40	0	
	Economic & Community Development Total		20	10	10	0	
						•	4
	SYSTEMS AUDITS TOTAL		20			•	۷
ASSURAN	SYSTEMS AUDITS TOTAL		215	195	185	185	75
ASSURAN	SYSTEMS AUDITS TOTAL CE WORK Spar/Data Quality		215	195	185	185	75
ASSURAN	SYSTEMS AUDITS TOTAL		215	195	185	185	75
	SYSTEMS AUDITS TOTAL CE WORK Spar/Data Quality Assurance Work Total DRK		215 0 0	195 0 0	185 0 0	185 0 0	75
ASSURANO	SYSTEMS AUDITS TOTAL CE WORK Spar/Data Quality Assurance Work Total ORK Fraud/Irregularity/Consultancy/Contingency		215 0 0	195 0 0	185 0 0	185 0 0	75
	SYSTEMS AUDITS TOTAL CE WORK Spar/Data Quality Assurance Work Total DRK		215 0 0	195 0 0	185 0 0	185 0 0	75
	SYSTEMS AUDITS TOTAL CE WORK Spar/Data Quality Assurance Work Total ORK Fraud/Irregularity/Consultancy/Contingency Other Work Total		215 0 0	195 0 0	185 0 0	185 0 0	75
	SYSTEMS AUDITS TOTAL CE WORK Spar/Data Quality Assurance Work Total ORK Fraud/Irregularity/Consultancy/Contingency Other Work Total SUMMARY		215 0 0 25 25	195 0 0 45 45	185 0 0 0 55 55	185 0 0 0 55 55	75
	SYSTEMS AUDITS TOTAL CE WORK Spar/Data Quality Assurance Work Total ORK Fraud/Irregularity/Consultancy/Contingency Other Work Total SUMMARY Available Audit Days		215 0 0 25 25	195 0 0 45 45	185 0 0 55 55	185 0 0 55 55	75
	SYSTEMS AUDITS TOTAL CE WORK Spar/Data Quality Assurance Work Total ORK Fraud/Irregularity/Consultancy/Contingency Other Work Total SUMMARY Available Audit Days Management		215 0 0 25 25	195 0 0 45 45	185 0 0 0 55 55	185 0 0 0 55 55	75
	SYSTEMS AUDITS TOTAL CE WORK Spar/Data Quality Assurance Work Total ORK Fraud/Irregularity/Consultancy/Contingency Other Work Total SUMMARY Available Audit Days		215 0 0 25 25	195 0 0 45 45	185 0 0 55 55	185 0 0 55 55	75
	SYSTEMS AUDITS TOTAL CE WORK Spar/Data Quality Assurance Work Total ORK Fraud/Irregularity/Consultancy/Contingency Other Work Total SUMMARY Available Audit Days Management Core Systems Systems Audits		215 0 0 25 25 25	195 0 0 45 45	185 0 0 55 55 55	185 0 0 0 55 55 55	75
	SYSTEMS AUDITS TOTAL CE WORK Spar/Data Quality Assurance Work Total ORK Fraud/Irregularity/Consultancy/Contingency Other Work Total SUMMARY Available Audit Days Management Core Systems		215 0 0 25 25 25 343 52 155	195 0 0 45 45 45	185 0 0 0 55 55 55	185 0 0 0 55 55 55	75
	SYSTEMS AUDITS TOTAL CE WORK Spar/Data Quality Assurance Work Total ORK Fraud/Irregularity/Consultancy/Contingency Other Work Total SUMMARY Available Audit Days Management Core Systems Systems Audits		215 0 0 25 25 25 25 155 215	195 0 0 45 45 45 155 195	185 0 0 0 55 55 55 155 185	185 0 0 0 55 55 55 155 185	75